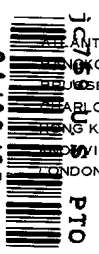


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April 20, 2000

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: Filing of New U.S. Patent Application
Title: *SYSTEM AND METHOD FOR DYNAMIC, MULTIVARIABLE
COMPARISON OF FINANCIAL PRODUCTS*
Inventors: John Carnahan and William Wallace
Attorney Docket No.: 47004.000056

Dear Sir:

Attached is a new patent application for filing in the United States Patent and Trademark Office including (14) pages of Specification, (3) pages of Claims (numbered 1-20), (1) page Abstract, and (27) sheets of Drawings.

The inventors are: John Carnahan and William Wallace.

The filing fee is calculated as follows:

| | | BASIC FILING FEE | | AMOUNT |
|---|----|------------------|----------|----------|
| No. of Claims | | No. in Excess | Rate | \$690.00 |
| Number of Claims in Excess of: 20 | 20 | 34 | \$ 18.00 | .00 |
| Independent Claims in Excess of: 3 | 2 | 0 | \$ 78.00 | .00 |
| First Presentation of Multiple Dependent Claims | | \$ 130.00 | | |
| Reduce by 1/2 for Small Entity | | | | |
| TOTAL FEE DUE | | | | \$690.00 |

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BOX PATENT APPLICATION

Page 2

A check in the amount of \$690.00 is attached to cover the basic application filing fee. In the event of any variance between the amount enclosed and the Patent and Trademark Office charges, please charge or credit any difference to the undersigned's Deposit Account No. 50-0206.

Please direct all communication concerning this application to the undersigned as follows:

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Respectfully submitted,

HUNTON & WILLIAMS

By: Scott D. Balderston
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Registration No. 35,436

Dated: April 20, 2000

**SYSTEM AND METHOD FOR DYNAMIC, MULTIVARIABLE
COMPARISON OF FINANCIAL PRODUCTS**

FIELD OF THE INVENTION

5 The invention relates to the field of electronic commerce, and more particularly to the dynamic, realtime comparison of financial products such as mutual funds.

BACKGROUND OF THE INVENTION

10 The advent of electronic commerce has led to an increasingly sophisticated array of networked financial products and services, and consumer tools to access and analyze those products and services. Online shopping comparators, in which categories of consumer goods or services are sorted by price, are known. Reverse auction services, in which a consumer names a price
15 and then a search engine attempts to match that price amongst participating vendors, are also known. In the realm of financial products and services, a host of Internet-based banking, mutual funds, and other financial tools have been deployed.

 In the case of mutual funds, the subject product involves a set of
20 performance numbers and other quantities which require more than a simple, one-field comparison on the basis of price. As a result, shopping for networked mutual fund products typically involves running a comparison engine in which a consumer wishing to invest in a mutual fund enters a set of predefined ranges

for several variables fitting their needs, and pertaining to funds in the search set. For instance, the consumer may enter a request for comparison of funds whose 5-year average return is at least 20% with an expense load of no more than 1.5%. Conventional search engines will then access some type of database
5 whose fields correspond to these predefined variables, and return only those mutual fund products matching the complete criteria set by the inquirer.

However, those types of comparison engines suffer from more than one drawback. For one, if a candidate mutual fund lacks one of the selected criteria but very satisfactorily meets all of the remainder, conventional search engines
10 will omit that product from the presentation of search results. Moreover, while such engines permit a user to input ranges for different criteria, once they are entered those ranges are not weightable. That is, the user is not afforded the opportunity to create a sliding scale of importance to be applied to the various quantitative factors supported by the search engine, or to sort out results once
15 hits are found based on variable weights. In addition, conventional search engines are not equipped to allow a user to re-search an existing collection of hits by adding, deleting or adjusting one or more criteria or weights on those criteria, to refine searches and focus in on products of particular interest.

Further, conventional search engines may be constrained in the input
20 feeds they use, and not be able to obtain multiple feeds or frequent or realtime updates. More flexible and robust financial search technology is desirable.

SUMMARY OF THE INVENTION

The invention overcoming these and other drawbacks in the art relates to a system and method for dynamic, multivariable comparison of financial products which permits consumers to select, enter, and edit criteria of their choosing, and weight those criteria according to user objectives. Search results based on this multivariable comparison may be presented in a quantitative or hybrid quantitative/graphical form, and links to service sites for purchase of the products involved may be presented. The database or databases from which candidate funds or other products are drawn for comparison by the search engine may be updated frequently or in realtime, and the search criteria may involve more than purely quantitative data. For instance, a user may wish to restrict their search for a financial or other product to subsets within certain categories, such as growth funds within the universe of available mutual funds. The invention in one embodiment is reflected in the Fund Profiler™ product of the assignee of this application.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be described with reference to the accompanying drawings, in which like elements are referenced by like numerals.

Fig. 1 illustrates a search engine architecture according to a first illustrative embodiment of the invention.

Figs. 2(a)-2(y) illustrate user interfaces and search logic for illustrative comparisons executed by the invention.

Fig. 3 illustrates a flowchart of comparison processing according to the invention.

5

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

The invention will be described with reference to an illustrative architecture shown in Fig. 1, in which a transaction server 106 communicates with a variety of database and other resources to coordinate the delivery of financial comparisons to a consumer at a client 118. Client 118 is connected to the transaction server 106 via communications link 104.

Communications link 104 may be, include or access any one or more of, for instance, the Internet, an intranet, a PAN (Personal Area Network) a LAN (Local Area Network), a WAN (Wide Area Local Network), or a MAN (Metropolitan Area Network), a frame relay connection, an Advanced Intelligent Network (AIN) connection, a synchronous optical connection, DSL (Digital Subscriber Line) connection, a digital T1, T2, or E1 line, Digital Data Service (DDS) connection, DSL (Digital Subscriber Line) connection, an Ethernet connection, an ISDN (Integrated Services Digital Network) line, a dial-up port such as a V.90, V.34, or V.34bis analog modem connection, a cable modem, an ATM (Asynchronous Transfer Mode) connection, or FDDI (Fiber

Distributed Data Networks) or CDDI (Copper Distributed Data Interface) connections.

Communications link 104 may furthermore be, include or access any one or more of a WAP (Wireless Application Protocol) link, a GPRS (General Packet Radio Service) link, a GSM (Global System for Mobile Communication) link, a CDMA (Code Division Multiple Access) or TDMA (Time Division Multiple Access) link such as a cellular phone channel, a GPS (Global Positioning System) link, CDPD (cellular digital packet data), a RIM (Research in Motion, Limited) duplex paging type device, a Bluetooth radio link, or an IEEE 802.11-based radio frequency link. Communications link 104 may yet further be, include or access any one or more of an RS-232 serial connection, an IEEE-1394 (Firewire) connection, an IrDA (infrared) port, a SCSI (Small Computer Serial Interface) connection, a USB (Universal Serial Bus) connection or other wired or wireless, digital or analog interface or connection.

Client 118 itself may be or include, for instance, a personal computer running the Microsoft Windows™ 95, 98, Millenium, NT, or 2000, Unix, Linux, Solaris™, OS/2™, BeOS™, MacOS™, or other operating system or platform. Client 118 may include a microprocessor such as an Intel x86-based device, a Motorola 68K or PowerPC device, a MIPS, Hewlett Packard or Alpha RISC processor, a microcontroller or other general or special purpose device operating under a programmed control. Client 118 may furthermore include electronic memory such as RAM (random access memory), or EPROM

(electronically programmable read only memory), storage such as hard drive, CDROM or rewritable CDROM or other magnetic, optical, or other media, and other associated components connected over an electronic bus, as will be appreciated by persons skilled in the art. Client 118 may also be a network-
5 enabled appliance such as a WebTV™ unit, radio-enable Palm™ Pilot or similar unit, a set-top box, a game playing console such as Sony Playstation™ or Sega Dreamcast™, a browser-equipped cellular telephone, or other TCP/IP client or other device.

The transaction server 106 may be or include, for instance, a
10 workstation running the Microsoft Windows™ NT™, Windows™ 2000, Unix, Linux, IBM AIX, Hewlett-Packard UX, Novell Netware™, Sun Microsystems Solaris™, OS/2™, BeOS™, Mach, Apache, OpenStep™, or other operating system or platform. Transaction server 106 includes or executes a search engine 116 capable of interrogating or querying a relational or other database
15 source to execute user commands. In the illustrative embodiment, the transaction server 106 communicates via communications link 108 to a set of information sources 110a . . . 110n. The information sources 110a . . . 110n may be or include, for instance, commercially available financial or other information sources, such as a feed on a realtime or batch basis from the
20 Lipper™ financial network source. It will be appreciated that other information sources, singly or together, may communicate with transaction server 106 to serve as raw information for customized consumer inquiries.

Search engine 116 is configured to accept information from the sources 110a . . . 110n and interrogate the resulting information feed, in relational database or other format. In one implementation of the invention, the search engine 116 may advantageously be, include or access the commercially available Frictionless™ product available from Frictionless Commerce, Inc. Search engine 116 may also be, include or access other existing data storage or management, technology such as the Oracle™ relational database sold commercially by Oracle Corp. Other databases, such as Informix™, DB2 or other data storage or query formats or platforms, such as SQL may also be used, accessed or incorporated in the invention.

In the operation of the invention, the client 118 may present a consumer wishing to inquire about mutual fund or other products with a user interface 102 laying out a set of user-selectable criteria 120, illustrated as criteria 1 . . . n. As illustrated in more detail in Figures. 2(a)-2(y), the criteria 120 in general may include enumerated financial information such as average fund returns for 1, 5, 10 years or other applicable periods, expense loads, fund asset size, net asset value (NAV) fund type, minimum investment and other qualitative or quantitative categories of information. In addition, the user may be presented with user-definable weighting ranges on interface 102.

That is, in the illustrative embodiment, the invention presents the user via interface 102 with not just sets of quantitative or qualitative fields, but also weighting module 124 to permit a set of a weighting ranges to allow the user to

attach discretionary levels of importance to those various ranges, should they be present in candidate fund. The user may assign a set of weights to those selected data, for instance categorizing different features as “must have” for greatest weighting, or lesser degrees according in one implementation to a sliding, radio-button scale as illustrated in Fig. 2(g). This means that a consumer at client 118 may receive a broad compilation of search results reflecting a collection of complex information, but sorted according to that user’s particular needs.

For instance, one user may be looking for mutual fund products having the characteristics of at least a 15% average annualized return over the last five years, while being categorized conservatively as an income fund, and having an expense load of less than 1.5%. For that user and their comparison criteria, mutual fund products having those characteristics may be presented and sorted, while other mutual funds matching the quantitative criteria, but lacking the income fund category, may also be presented for completeness and flexibility. As illustrated in Figures 2(a) - 2(y), the interface 102 of the invention may present the user with graphical result code 122 indicating the varying degree of match between the user’s inputted criteria and the characteristics of the funds presented in the search results 112.

Illustratively the interface 102 may present the user with a blue bar next to entries in the search results 112 whose degree of blueness or length of bar reflects how well candidate funds or other products meet all inputted criteria.

The user may, therefore, immediately isolate the products which meet all stated criteria. However, the invention may also present the user with other graphical result codes 122, such as a yellow bar, indicating that some but not all of criteria 120 were met. Nonetheless, a candidate fund marked with a yellow bar
5 may generate a higher match score and receive a higher ordinal ranking if a given mutual fund product does not contain all stated criteria 120 of the search, however matches higher-weighted criteria to a particularly strong degree.

The resulting sort list in the search results 112 may thus present the user with a variety of matching funds, some meeting all criteria 120 while others
10 may have fewer categorical matches but higher net match scores resulting from user-defined weighting. The weighting module 124 may store pre-assigned default values for different categories of the criteria 120, but which the user may manipulate via interface 102 to adjust up or down. The user may similarly manipulate the interface 102 to create or access an investment profile 126 for
15 that user for the purpose of pre-filling one or more different criteria 120, for use during later sessions.

Once the user has entered or selected all criteria 120 at the client 118, the criteria 120 are communicated to the transaction server 106 for entry into the search engine 116. Search engine 116 obtains the criteria 120 for a
20 relational or other query against the information sources 110a . . . 110n on a realtime or batch basis. Once the information sources 110a . . . 110n are interrogated the search engine 116 collects and transmits the search results 112

to the client 118 via communications link 104. The search results 112 may satisfy the user in initial form, providing enough information to permit the user to make a transaction decision. In that regard and as illustrated for instance in Fig. 2(m), 2(x) and 2(y), interface 102 may include a transaction link 128
5 permitting the user to reach a linkable Web or other site via a URL or other linking resource, to enter information, obtain a prospectus, perform a transaction or take other steps.

However, in the practice of the invention if the user wishes to revise or refine search results 112, provisions made for search refinement through search
10 modification module 114 accessible through the interface 102. The search modification module 114 allows the user to execute editing functions to alter, delete, add, or otherwise manipulate the criteria 120 to re-execute or refine the search.

If the user chooses to revise the criteria 120, they manipulate the
15 interface 102 to enter different values, ranges, or weights for comparison against the information sources 110a . . . 110n. In one embodiment, the search results 112 may be stored locally on the client 118 so that further refinements within the search results 112 themselves may be performed without the need to communicate over communications link 104 and other facilities. Conversely, if
20 the user wishes to add to the criteria 120, or to replace one or more of the criteria 120 with entirely new values, it may be necessary to communicate

between communications link 104 and other resources to obtain new search results 112.

A revised or refined search may produce new search results 112, with recalculated and re-presented graphical results codes 122 indicating a new ordinal ranking of mutual funds or other products, again for instance using blue bars, yellow bars, or other icons or other graphical representations. It may be noted that the transmissions via communications link 104 or otherwise may be encrypted using PGP, SSL, 128-bit encryption or other security techniques.

An illustrative example of a comparison session will be described with reference to Figs. 2(a) and 2(z) in more detail. As shown in Fig. 2(a), the user interface 102 may present the user with a login screen. The user then may be presented with a selection screen as shown in Fig. 2(b) to select the profiling function of the invention. As shown in Figs. 2(c) - 2(e), the user may then be presented with a description of the service along with instructions on how to proceed with invoking a comparison session. The user may then be presented with an option to either select a predefined search profile, illustratively a set of investment objectives rated between very aggressive and very conservative, and a custom profile selection permitting individual criteria selection, as shown in Fig. 2(f).

If the user elects to set up a custom profile, then as shown in Fig. 2(g) the set of criteria 120 may be presented along with the weighting module 124 in the form of selectable radio buttons to arrange their desired complex of criteria.

As shown in Figs. 2(h) and 2(i), the user may drill down into individual ones of the criteria 120 for range selection and explanations of the pertinent data. Once the desired ranges and weights are input or selected for all of the criteria 120, the entire set of search criteria are communicated to the transaction server 106.

5 The search engine 116 then interrogates the information sources 110a . . . 110n, and returns search results 112 illustrated in Figs. 2(j) - 2(l). As shown for instance in Figs. 2(j) - 2(l), the graphical result code 122 for each entry within the search results 112 may be included along with quantitative and other information to permit the user to compare and evaluate different products
10 coinciding with their needs. As shown in Fig. 2(m), a transaction link 128 may be presented, in this case illustratively a request for a financial prospectus.

As illustrated in Figs. 2(n) - 2(y), once the transaction server 106 returns the search results 112 to the client 118, the search result 112 the user may drill down through the search results 112 in order to view more information about
15 particular funds, sort the results, alter one or more of the criteria 120 and generally manipulate the user interface 102 to refine and explore the search results. As illustrated in Fig. 2(y), another possibility for the transaction link 128 as a link to a purchase site for individual funds or other products, depending on the search results 112, the user's existing account and other
20 factors.

Overall processing of mutual fund comparative profiles according to the invention is illustrated in Figure 3. In step 302, processing begins. In step 304,

In step 312, the search engine 116 communicates with the information sources 110a . . . 110n to interrogate those sources for matches to the user's search criteria 120. In step 314, search results 112 are communicated to the client 118 and presented to the user, which may include for example graphical result code 122, numerical data, ordinal rankings, advertising, or other information. In step 316 a revised search is executed using search modification module 114, if desired. In step 318, linking to a transaction site via a transaction link 128 is executed if the user so desires. In step 320, the search results 112 and other information may be stored on client 118, transaction server 106 or elsewhere if desired. In step 322, processing ends.

The foregoing description of the system and method for dynamic multivariable comparisons according to the invention is illustrated, and variations in configuration and implementation will occur to persons skilled in the art. For example, while search results 112 have been described as being visually presented on interface 112 of client 118, search results and related

| Category | Item | Score |
|-----------------|---|-------|
| General Health | 1. How would you describe your overall health? | 100 |
| | 2. How often do you feel tired or exhausted? | 95 |
| | 3. How often do you feel stressed or overwhelmed? | 90 |
| | 4. How often do you feel happy or content? | 85 |
| | 5. How often do you feel sad or down? | 80 |
| | 6. How often do you feel energetic or motivated? | 75 |
| | 7. How often do you feel nervous or anxious? | 70 |
| | 8. How often do you feel calm or relaxed? | 65 |
| | 9. How often do you feel confident or self-assured? | 60 |
| | 10. How often do you feel lonely or isolated? | 55 |
| Social Support | 11. How often do you feel supported by family and friends? | 50 |
| | 12. How often do you feel understood or listened to? | 45 |
| | 13. How often do you feel accepted or valued? | 40 |
| | 14. How often do you feel loved or cared for? | 35 |
| | 15. How often do you feel respected or appreciated? | 30 |
| | 16. How often do you feel safe or secure? | 25 |
| | 17. How often do you feel comfortable or at ease? | 20 |
| | 18. How often do you feel free or independent? | 15 |
| | 19. How often do you feel happy or content? | 10 |
| | 20. How often do you feel sad or down? | 5 |
| Physical Health | 21. How often do you feel physically fit or healthy? | 5 |
| | 22. How often do you feel physically weak or frail? | 0 |
| | 23. How often do you feel physically strong or powerful? | 0 |
| | 24. How often do you feel physically tired or exhausted? | 0 |
| | 25. How often do you feel physically energized or motivated? | 0 |
| | 26. How often do you feel physically nervous or anxious? | 0 |
| | 27. How often do you feel physically calm or relaxed? | 0 |
| | 28. How often do you feel physically confident or self-assured? | 0 |
| | 29. How often do you feel physically lonely or isolated? | 0 |
| | 30. How often do you feel physically supported by family and friends? | 0 |
| Mental Health | 31. How often do you feel mentally fit or healthy? | 0 |
| | 32. How often do you feel mentally weak or frail? | 0 |
| | 33. How often do you feel mentally strong or powerful? | 0 |
| | 34. How often do you feel mentally tired or exhausted? | 0 |
| | 35. How often do you feel mentally energized or motivated? | 0 |
| | 36. How often do you feel mentally nervous or anxious? | 0 |
| | 37. How often do you feel mentally calm or relaxed? | 0 |
| | 38. How often do you feel mentally confident or self-assured? | 0 |
| | 39. How often do you feel mentally lonely or isolated? | 0 |
| | 40. How often do you feel mentally supported by family and friends? | 0 |

IN THE CLAIMS

What is claimed is:

1. A system for multivariable comparison of financial information, comprising:

5 a client interface to a user inputting weightable search information; and
a search interface, communicating with the client interface, the search interface operative to interrogate at least one network-enabled information source according to the weightable search information to generate search results.

10 2. The system of claim 1, wherein the client interface comprises a communications link for transmitting the weightable search information to a transaction server and transmitting the search results to the user.

3. The system of claim 2, wherein the client interface comprises a graphical user interface for displaying at least one of the weightable search
15 information, the search results, and graphical coding objects associated with the search results.

4. The system of claim 1, wherein the client interface comprises a network-enabled connection to a client workstation.

5. The system of claim 4, wherein the network-enabled connection
20 comprises an Internet connection.

6. The system of claim 1, wherein the weightable search information comprises multivariable financial information.

7. The system of claim 6, wherein the weightable search information comprises weighting information to be applied to the multivariable financial information to generate a composite results score.

8. The system of claim 7, wherein the weightable search information is modifiable to be applied to the search results.

9. The system of claim 1, wherein the search interface comprises a connection to a relational database.

10. The system of claim 1, wherein the client interface comprises at least one of keyboard input, voice input, touch pad input, voice output, pointing device input, speech input, biometric input, and graphical output at the client workstation.

11. A method for multivariable comparison of financial information, comprising:

a) receiving weightable search information from a client interface;
and

b) interrogating at least one network-enabled information source according to the weightable search information to generate search results.

12. The method of claim 11, wherein the client interface comprises a communications link for transmitting the weightable search information to a transaction server and transmitting the search results to the user.

13. The method of claim 12, wherein the client interface comprises a graphical user interface, further comprising a step of c) displaying at least one

of the weightable search information, the search results, and graphical coding objects associated with the search results.

14. The method of claim 11, wherein the client interface comprises a network-enabled connection to a client workstation.

5 15. The method of claim 14, wherein the network-enabled connection comprises an Internet connection.

16. The method of claim 11, wherein the weightable search information comprises multivariable financial information.

10 17. The method of claim 16, wherein the weightable search information comprises weighting information to be applied to the multivariable financial information to generate a composite results score.

18. The method of claim 17, wherein the weightable search information is modifiable to be applied to the search results.

15 19. The method of claim 11, wherein the search interface comprises a connection to a relational database.

20. The method of claim 11, wherein the client interface comprises at least one of keyboard input, voice input, touch pad input, voice output, pointing device input, speech input, biometric input, and graphical output at the client workstation.

ABSTRACT

A multivariable search system for the comparative analysis of financial products allows the user to enter a set of criteria of their choice with desired ranges and in addition, a weighting factor to be applied to the criteria. Mutual funds, for instance, may be searched according to desired rates of return, fund type categories, and assigned levels of weight or emphasis on different ones of the criteria. Search results may be returned in coded form, indicating which candidate products they match all of the selected criteria, and which ones generate the highest net score given the weighting and other factors. Searches may be revised or refined based on the presented results, or new searches may be performed. Hot linkable connections may be presented to transaction sites to carry out purchases, obtain prospectuses, or other activities relating to the mutual fund or other financial products returned.

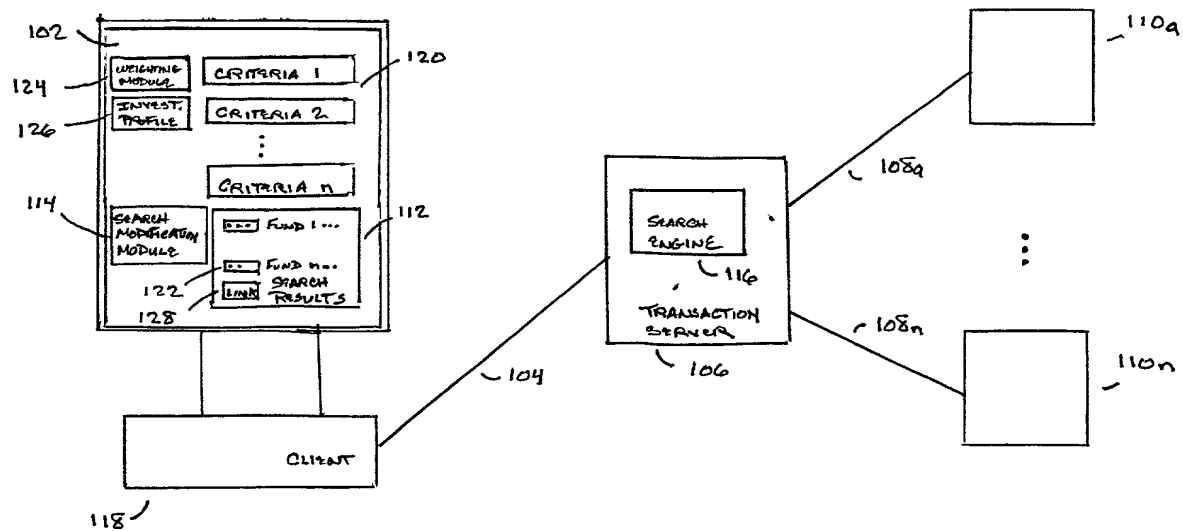
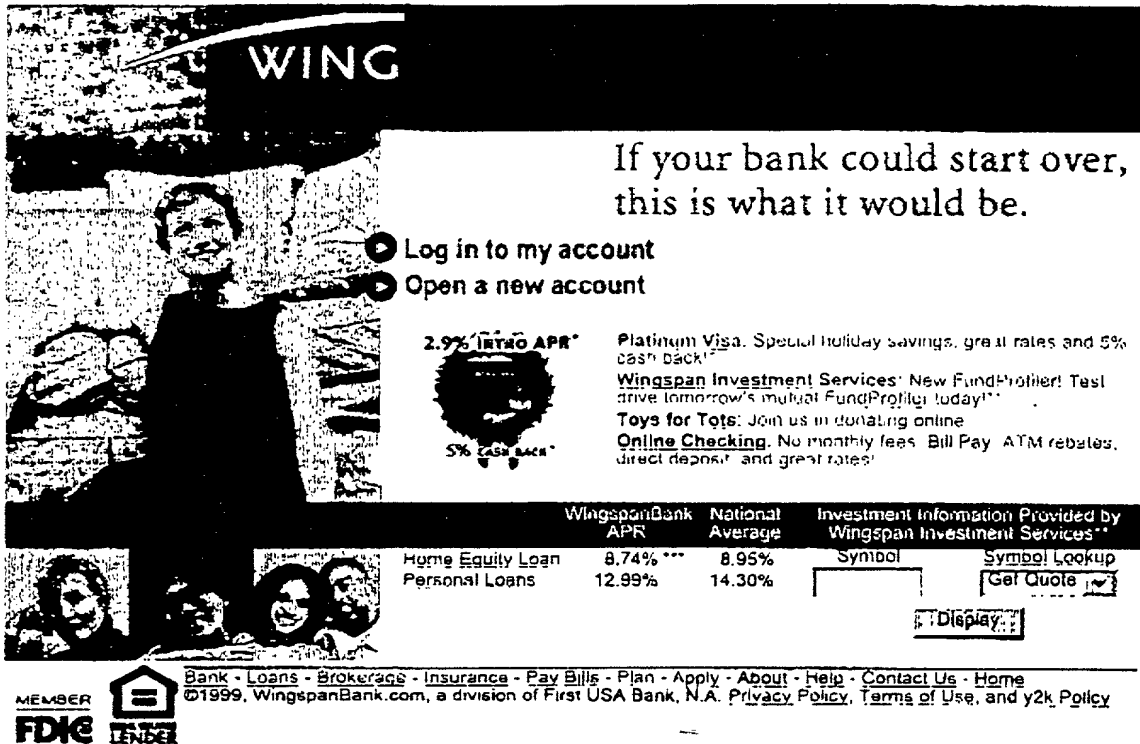


FIG. 1



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- Open a new account

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| | WingspanBank APR | National Average | Investment Information Provided by Wingspan Investment Services** | |
|------------------|---------------------|---------------------|--|---------------|
| Home Equity Loan | 8.74% *** | 8.95% | Symbol | Symbol Lookup |
| Personal Loans | 12.99% | 14.30% | | Get Quote |

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FIG. 2(b)

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Wingspan Investment Services' FundProfiler



FundProfiler—our high-tech mutual fund search tool—sifts through more than seven thousand funds to compile a list that match specific investment priorities.

Use FundProfiler to find funds that match specific investment priorities by:

- Selecting a profile that best describes the fund you are seeking.
- Setting criteria for 11 mutual fund features.
- Sorting through the results.

Log In Before You Begin

Access all of FundProfiler's features: [log in](#) before you begin. If you don't have an account with us, [apply now](#).

To take full advantage of FundProfiler, carefully read our [detailed instructions](#) before you proceed.

[Go To FundProfiler](#) | [Detailed Instructions](#)

If you have any questions regarding FundProfiler please contact our customer care advisors at 1-888-420-7440 Monday through Friday, 8:30 a.m. to 10 p.m. Eastern time.

FundProfiler does not make recommendations for mutual fund purchases. It does not rank funds by quality, nor rate any fund better than any other fund. It does provide you with important information on the funds within its database. Always read a prospectus carefully before investing. The prospectus contains additional information including fees, charges, and expenses.

FundProfiler does not constitute an offer of sale for any of the funds displayed. Such offer can only be made by the fund's own prospectus.

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Fig. 2(c)

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Wingspan FundProfiler Detailed Instructions

Detailed Instructions

FundProfiler, a sophisticated search tool, sifts through more than seven thousand mutual funds to compile a list of mutual funds that match specific investment priorities. **FundProfiler** takes you through three main screens: start, criteria, and results.

Start

On the "Start" screen, FundProfiler provides five predefined profiles and a custom option. Choose the profile that most closely describes the type of fund you are seeking. Choose the custom option if none of the predefined profiles adequately describes the type of fund you are seeking.

Note: Exposure to market fluctuations is associated with investor risk. Funds with high exposure to market fluctuations tend to present investors with more risk; funds with low exposure to market present investors with less risk.

Criteria

The Criteria screen lists 11 mutual fund features. For more information about a feature, select the corresponding "Learn More" button.

You can set criteria for each feature. If, on the Start screen, you select:

- A predefined profile, the criteria for each feature will be preset.
- The custom option, no criteria will be set.

You can customize your profile by editing a predefined profile. Use the "Edit" buttons next to each feature to select the criteria that best describe the type of mutual fund you are shopping for. For example, use the "Edit" button next to investment objective to choose an investment objective from a drop-down list that includes growth, growth and income, income, etc.

Use the radio buttons to the right of each feature to indicate how important the feature is to your overall investment objective. The radio buttons:

- Range from most important (left) to least important (right).
- Tell the FundProfiler which features you are most concerned with and which concern you less.

For example, if a fund's ten-year performance is more important to you than a fund's annual portfolio turnover, position the ten-year performance indicator further to the left than the annual portfolio turnover indicator.

You can ensure that all of the funds in your results have the criteria you've indicated for a specific feature by selecting the corresponding "must have" radio button. Each must have feature generally reduces the number of funds in your final results. However, if you do not indicate that a feature must have the criteria that you've selected, your resulting funds may not exactly match your criteria.

Results

The Results screen lists funds that meet, or closely match, the criteria you set forth on the Criteria screen. You can sort your resulting funds according to a variety of options. Initially, FundProfiler sorts your results by match score.

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Fig. 2cd)

The match score

- Indicates how closely the fund meets the criteria you selected on the previous screen, taking into account the relative importance you assigned to each criterion.
- Is not a rating of the fund's quality. Funds with high match scores are not better than funds with low match scores; rather, funds with high match scores more closely match the criteria you selected, weighted by the relative importance you assigned to each, than funds with low match scores.

You can access important information about a fund—including a breakdown of the fund's features compared to the criteria you selected—by clicking on the fund's ticker symbol.

Please keep these important notes in mind as you use FundProfiler

- **Asset Allocation.** Asset allocation is an important factor in a portfolio's total long-term performance. While FundProfiler does not address asset allocation, it can help you select mutual funds from each asset class. If you are allocating your portfolio across different asset classes or investment styles, as many investors do, use FundProfiler again and again to review funds in each of your allocation categories.
- **Funds.** FundProfiler does not make fund recommendations, nor does it rank the funds displayed. FundProfiler is an information tool only. A fund's past performance does not indicate future results.
- **Prospectuses.** You can order free prospectuses through FundProfiler by selecting the fund's "Prospectus" button. Read the fund's prospectus carefully before you invest. If you do not have a Wingspan Investment Services (WIS) account, you will need to provide delivery information.
- **Purchases.** Not all funds are available for sale through WIS; not all funds are available for sale to residents of every state. If you have a WIS account, you can purchase mutual funds through FundProfiler by selecting the "Buy" button. Applicable WIS transaction costs, if any, will be disclosed at the point of purchase. If you do not have a WIS account, you cannot purchase mutual funds through FundProfiler, but you can apply for a WIS account by selecting the "Apply" button.
- **Taxes.** Some mutual funds provide tax benefits such as tax-exempt status. Wingspan Investment Services' FundProfiler does not include tax exempt funds.

If you have any questions regarding FundProfiler, please contact our customer service representatives at 1-888-420-7440 Monday through Friday, 8:30 a.m. to 10 p.m. Eastern time.

FundProfiler does not make recommendations for mutual fund purchases. It does not rank by quality, nor rate any fund better than any other fund. It does provide you with important information on the funds within its database. Always read a prospectus carefully before investing. The prospectus contains additional information including fees, charges, and expenses. FundProfiler does not constitute an offer of sale for any of the funds displayed. Such offer can only be made by the fund's own prospectus.

Go To FundProfiler

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FIG. 2(e)

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FundProfiler

1 Start
2 Criteria
3 Results

Step One: Select a Profile

Select the profile from the table below that best describes the type of fund you are seeking.

☒ Custom- I prefer to set my own preferences. I do not want any default values set for me.

☐ Very Conservative- I want to search for funds that seek current income and seek to minimize exposure to market fluctuations.

☐ Conservative- I want to search for funds that seek current income. I am willing to accept moderate exposure to market fluctuations in exchange for potentially higher returns.

☐ Moderate- I want to search for funds that seek higher income and/or a blend of growth and income. I am willing to accept varying degrees of exposure to market fluctuations in exchange for potentially higher returns.

☐ Moderate Aggressive- I want to search for funds that seek high total return. I am willing to accept high exposure to market fluctuations in exchange for potentially higher returns.

☐ Very Aggressive- I want to search for funds that seek high capital growth, very high total returns, and/or limited diversification. I am willing to accept very high exposure to market fluctuations in exchange for potentially higher returns.

Quick Search Enter a fund family name to narrow your search:

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FIG. 2 (f)

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FundProfiler

1 Start 2 **Criteria** 3 Results

Step Two: Choose Your Criteria

PREVIOUS

NEXT

We will compile a list of mutual funds that match, or closely match, the criteria you specify for each feature below. The initial settings are based on the profile you selected; tailor your results by changing the settings to match the funds you are seeking.

You can use the "Edit" buttons to choose a range for each feature. Use the radio buttons to select the importance of each feature to you. The "Must Have" radio buttons will ensure that results meet your specific criteria.

| Features | Criteria | | | | | | | | |
|--|---|-----------------------|-----------------------|-----------------------|----------------------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Performance: 1 Year learn more | <input type="button" value="EDIT"/> No Preference | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Performance: 5 Years learn more | <input type="button" value="EDIT"/> No Preference | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Performance: 10 Years learn more | <input type="button" value="EDIT"/> No Preference | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Performance: Year to Date learn more | <input type="button" value="EDIT"/> No Preference | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Performance: Since Inception learn more | <input type="button" value="EDIT"/> No Preference | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Total Expense Ratio learn more | <input type="button" value="EDIT"/> No Preference | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Operations learn more | <input type="button" value="EDIT"/> No Preference | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Annual Portfolio Turnover learn more | <input type="button" value="EDIT"/> No Preference | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Portfolio Manager Tenure learn more | <input type="button" value="EDIT"/> No Preference | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Risk learn more | <input type="button" value="EDIT"/> No Preference | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Investment Objective learn more | <input type="button" value="EDIT"/> No Preference | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

PREVIOUS

NEXT

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FIG. 2(g)

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FundProfiler

Learn More

Performance: 1 Year


Usually expressed as a percentage, one-year performance is a measure of the return on an investment over the past 12 months. Performance is usually compared to other funds with similar investment strategies. While a fund's past performance does not indicate its future returns, it does provide a metric to compare funds with similar objectives under similar economic conditions.


BACK

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Disclosure

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FIG. 2(h)

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FundProfiler

Edit: Criteria

Use the drop-down boxes below to select the ranges for the feature selected.

Feature: Performance: 1 Year


from (min) to (max)


< [Previous Feature](#) [Next Feature](#) >

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FIG. 2 (c)

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FundProfiler

1 Start 2 Criteria 3 Results

Step Three: View Your Results

We have compiled the list of funds below based on the criteria you selected. To view more information about the table's symbols and buttons, check out the Key

Funds Found: 5555

Funds Searched: 5555
Fund Families Searched: 494

Funds are sorted by the Match Score To sort by another criteria click the drop-down box to the right and select that item

Sort by: Match Score

To view details for up to three funds, side-by-side, check their boxes and select the "Display" button

| DISPLAY | | | | | | |
|---|--|-------------|---------------|---------------|----------------|-------------------|
| Symbol | Fund Name | Match Score | 1 Year Return | 5 Year Return | 10 Year Return | Since Inception |
| <input checked="" type="checkbox"/> INTEX | 1838 Investment Advisors Funds: 1838 International Equity Fund | 100 | 33.20% | n/a | n/a | 13.70% 08/03/1995 |
| <input checked="" type="checkbox"/> ACGFX | AARP Growth Trust: AARP Capital Growth Fund | 100 | 35.00% | 25.20% | 15.50% | 17.10% 12/04/1984 |
| <input checked="" type="checkbox"/> AAISX | AARP Growth Trust: AARP International Growth & Income Fund | 100 | 21.10% | n/a | n/a | 13.20% 02/03/1997 |
| <input type="checkbox"/> AUSSX | AARP Growth Trust: AARP U.S. Stock Index Fund | 100 | 23.40% | n/a | n/a | 24.10% 02/03/1997 |
| <input type="checkbox"/> RIEQX | ABN AMRO Funds: International Equity Fund: Common Class Shares | 100 | 31.30% | 13.60% | n/a | 14.50% 01/04/1993 |
| <input type="checkbox"/> RLAEX | ABN AMRO Funds: Latin America Equity Fund: Common Class Shares | 100 | 24.60% | n/a | n/a | 4.60% 06/28/1996 |
| <input type="checkbox"/> AIINX | Accessor Funds, Inc.: International Equity Portfolio; | 100 | 37.10% | n/a | n/a | 13.40% 07/06/1998 |

Fig. 2 (j)

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112

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102

112

To view details for up to three funds, side-by-side, check their boxes and select the "Display" button.

Go to page: of 556

Next Page >
Results per page:

Quick Search Enter a fund family name to narrow your search:

10

START OVER

Key

DISPLAY

Symbol

To view fund details, click on the fund's ticker symbol.

Match Score

A measure of how closely a fund matches your selected criteria. Match scores, which range from zero to 100, do not represent an investment recommendation or ranking by investment performance. For an analysis of the score, click on the colored bars (■ ■ ■).

A blue bar indicates that a fund matches all of your preferences.

A yellow bar indicates that a fund is missing one or more of your preferences.

Returns

The fund's performance over the past one year, five years, ten years, or since inception. Past performance is not indicative of future returns.

Inception Date

The date the fund was opened to investors.

APPLY

If you want to purchase a fund but you do not have a Wingspan Investment Services account, select the "Apply" button and complete our online application.

Physician's

Before investing or sending money, read the prospectus carefully. If you want to obtain

Fig. 2 (k)

Wingspan FundProfiler Results

- A free prospectus, which contains more information including fees, sales charges, and expenses, select the "Prospectus" button.
- More than one prospectus, note the ticker symbol; you will not be able to return to these results after making your initial prospectus request

Quick Search

To view funds from just one family, use Quick Search.

START OVER

To choose a new profile, select the "Start Over" button.

FundProfiler does not make recommendations for mutual fund purchases. It does not rank funds by quality, nor rate any fund better than any other fund. It does provide you with important information on the funds within its database. Always read a prospectus carefully before investing. The prospectus contains additional information including fees, charges, and expenses. FundProfiler does not constitute an offer of sale for any of the funds displayed. Such offer can only be made by the fund's own prospectus.

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Disclosure



Disclosure

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Fig. 2. (2)

Prospectus Request

Thank you for your interest in WingspanBank.com. Please fill in the following information and the mutual fund prospectus will be mailed to you. You may also request up to four additional prospectuses on this form if you know the ticker symbol.

First Name* M.I. Last Name*

Mailing Address *

City* State* Zip Code* Email Address*

Mutual Fund Ticker Symbol*

INTEX

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* denotes required items

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Fig. 2 (m)

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FundProfiler

1 Start 2 Criteria 3 Results

Match Score Analysis

The colored icons reflect how well a feature matches your preferences. The more blue the icon is, the better it matches your preferences. For more information about symbols and buttons, check out the Key.

Analysis of the Match Score

Fund: 1838 Investment Advisors Funds: 1838 Internatio...

Match Score:  100

Hits

1 important preferences are met. Your most important features are displayed below.

| Feature | Your Preference: | This Fund: |
|--------------------------------------|------------------|------------|
| 1 Performance: 1 Year- learn more | 5.00% - 20.00% | 33.20% |

Additional Information

There was no data for 2 of your important preferences.

You did not express a preference, or chose "Not Important" for 10 features.

To see how each feature measured up to the criteria you selected, click the Details button.

BACK

DETAILS

Key:

- ● ● ● These icons reflect how well a feature matches your preferences. The more blue the icon is, the better it matches your preferences
- ① The blue icon containing an exclamation point indicates that although this fund does not meet your preference, it may deem it more beneficial than the preference you selected (e.g. - a higher return than you specified), so please review it carefully.
- ⓧ The yellow icon containing a red "x" indicates that your preference was not met
- The open grey circle indicates that no preference, or "Not Important" was selected
- The grey hash mark or "n/a" indicates that data was not available, not applicable, or could not be verified

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FIG. 2 (n)

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FundProfiler

1 Start 2 Criteria 3 Results

Results - Display

Details for the funds you selected appear side-by-side below. The colored icons (● ●) next to each feature indicate how well each feature matches the criteria you specified. The more blue an icon is, the better it matches your preferences. A yellow icon (●) indicates that your preference is not met. For more information about the symbols and buttons, check out the [Key](#).

Funds Searched: 3555
Fund Families Searched: 494

General Fund Information

1838 Investment Advisors Funds: 1838
International Equity Fund



INTEX

Symbol
Family
Objective
Match Score

1838 INVESTMENT ADVISORS L.P.

International Funds

100

Features

Maximum Sales Charge .00%

12B1 Fee Ratio n/a

Performance: 1 Year 33.20%

Performance: 5 Years n/a

Performance: 10 Years n/a

Performance: Year to Date 21.50%

Performance: Since Inception 13.70%

FIG. 2 (b)

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| | | |
|--------------------------------------|---|----------------------------------|
| Total Expense Ratio | 0 | 1.13% |
| Minimum Initial Investment | 0 | \$1,000 |
| Minimum Subsequent Investment | | \$0 |
| Minimum Initial Investment for IRA | | \$0 |
| Periodic Investment | | Y |
| Systematic Withdrawal Plan Available | | Y |
| Annual Portfolio Turnover | 0 | 1% |
| Portfolio Manager Tenure | 0 | 4 Years |
| Portfolio Manager | | Hans Van den Berg |
| Beta | 0 | .80 |
| Telephone Number | | 800-884-1838 |
| Ticker Symbol | | INTEX |
| FPO Date | | 08/03/1995 |
| Total Net Assets | | \$84.40MM |
| Total Net Asset Date | | 08/31/1999 |
| Fiscal Year End Date | | 10/31/1998 |
| Yield | | .00 |
| Yield Date | | 08/31/1999 |
| Change | | .23% |
| Holding One | | KOA Corp 2.8% |
| Holding Two | | Aiful Corp 2.01% |
| Holding Three | | Total SA CI B 1.93% |
| Holding Four | | Mannesmann AG Ord 1.86% |
| Holding Five | | Advantest Corp 1.77% |
| Holding Six | | Zurich Allied AG 1.77% |
| Holding Seven | | SK Telecom Co Ltd 1.75% |
| Holding Eight | | Takeda Chemical Indust Ltd 1.73% |
| Holding Nine | | Societe Generale CI A 1.72% |

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112

Fig. 2 (p)

| | |
|--|-----------------|
| Holding Ten | Adecco SA 1.67% |
| Cash Holdings | 0.2 |
| Equities Holdings | 99.80% |
| Convertibles Holdings | .00% |
| Fixed Income Holdings | .00% |
| Other Holdings | .00% |
| Holding Valuation Date | 06/30/1999 |
| 1838 Investment Advisors Funds: 1838 International Equity Fund | |

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Top of page

Key



These icons reflect how well a feature matches your preferences. The more blue the icon is, the better it matches your preferences.

A blue icon containing an exclamation mark indicates that although this fund does not meet your preference, it may deem it more beneficial than the preference you selected (e.g., a higher return than you specified), so please review it carefully.

The yellow icon containing a red "X" indicates that your preference was not met.

The open grey circle indicates that no preference, or "Not Important" was selected.

The grey hash mark or "N/A" indicates that data was not available, not applicable, or could not be verified.

Match Score



A measure of how closely a fund matches your selected criteria. Match scores, which range from zero to 100, do not represent an investment recommendation or ranking by investment performance. For an analysis of the score, click on the colored bars (■ ■ ■).

The blue bar indicates that a fund matches all of your preferences.

A yellow bar indicates that a fund is missing one or more of your preferences.



If you want to purchase a fund but you do not have a Wingspan Investment Services account, select the "Apply" button and complete our online application.



Before investing or sending money, read the prospectus carefully. If you want to obtain:

- A free prospectus, which contains more information including fees, sales charges, and expenses, select the "Prospectus" button.
- More than one prospectus, note the ticker symbol; you will not be able to return to these results after making your initial prospectus request.

FIG. 2 (9)

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FundProfiler

1 Start 2 Criteria 3 Results

Results - Display

BACK

Details for the funds you selected appear side-by-side below. The colored icons (● ● ●) next to each feature indicate how well each feature matches the criteria you specified. The more blue an icon is, the better it matches your preferences. A yellow icon (●) indicates that your preference is not met. For more information about the symbols and buttons, check out the [Key](#).

Funds Searched: 5355
Fund Families Searched: 494

| General Fund Information | 1838 Investment Advisors Funds: 1838 International Equity Fund | AARP Growth Trust: AARP Capital Growth Fund | AARP Growth Trust: AARP International Growth & Income Fund |
|--------------------------|--|---|--|
| Symbol | INTX APPLY PROSPECTUS | ACGFX APPLY PROSPECTUS | AAISX APPLY PROSPECTUS |
| Family | 1838 INVESTMENT ADVISORS L.P. | AMERICAN ASSOC OF RETIRED PERSONS | AMERICAN ASSOC OF RETIRED PERSONS |
| Objective | International Funds | Growth Funds | International Funds |
| Match Score | 100 | 100 | 100 |

| Features | 1838 Investment Advisors Funds: 1838 International Equity Fund | AARP Growth Trust: AARP Capital Growth Fund | AARP Growth Trust: AARP International Growth & Income Fund |
|-----------------------|--|---|--|
| Maximum Sales Charge | .00% | .00% | .00% |
| 12B1 Fee Ratio | n/a | n/a | n/a |
| Performance: 1 Year | 33.20% | 35.00% | 21.10% |
| Performance: 5 Years | n/a | 25.20% | n/a |
| Performance: 10 Years | n/a | 15.50% | n/a |

FIG. 2 (r)

00000000000000000000

Performance: Year to

Performance: Year to ☐ 21.50% ☐ 20.50% ☐ 13.60%
 Date

Performance: Since ☐ 13.70% ☐ 17.10% ☐ 13.20%
 Inception

Total Expense Ratio ☐ 1.13% ☐ .87% ☐ 1.75%

Minimum Initial ☐ \$1,000 ☐ \$2,000 ☐ \$2,000

Investment
 Minimum Subsequent \$0 \$50 \$50

Investment
 Minimum Initial \$0 \$250 \$250

Investment for IRA
 Periodic Investment Y Y Y

Systematic Withdrawal
 Plan Available Y Y Y

Annual Portfolio ☐ 1% ☐ 1% ☐ 2%
 Turnover

Portfolio Manager ☐ 4 Years ☐ 10 Years ☐ 0 Years
 Tenure

Portfolio Manager Hans Van den Berg Gauden/Bealy Cheng/Reilly/Lambert

Beta ☐ .80 ☐ 1.08 ☐ - n/a

Telephone Number 800-884-1838 800-322-2282 800-322-2282

| Ticker Symbol | INTEX | ACGFX | AAISX |
|----------------------|------------|--------------|------------|
| FPO Date | 08/03/1995 | 12/04/1984 | 02/03/1997 |
| Total Net Assets | \$84.40MM | \$1,739.00MM | \$34.40MM |
| Total Net Asset Date | 08/31/1999 | 08/31/1999 | 08/31/1999 |
| Fiscal Year End Date | 10/31/1998 | 09/30/1998 | 09/30/1998 |
| Yield | .00 | .38 | 1.05 |
| Yield Date | 08/31/1999 | 08/31/1999 | 08/31/1999 |

Fig. 2 (s)

A blue icon containing an exclamation point indicates that although this fund does not meet your preference, it may deem it more beneficial than the preference you selected (e.g., a higher return than you specified), so please review it carefully.

The open grey circle indicates that no preference, or "Not Important" was selected

The grey hash mark or "n/a" indicates that data was not available, not applicable, or could not be verified

Match Score

A measure of how closely a fund matches your selected criteria. Match scores, which range from zero to 100, do not represent an investment recommendation or ranking by investment performance. For an analysis of the score, click on the colored bars (3).

The blue bar indicates that a fund matches all of your preferences

A yellow bar indicates that a fund is missing one or more of your preferences.

APPLY

If you want to purchase a fund but you do not have a Wingspan Investment Services account, select the "Apply" button and complete our online application

PROSPECTUS

Before investing or sending money, read the prospectus carefully. If you want to obtain:

- A free prospectus, which contains more information including fees, sales charges, and expenses, select the "Prospectus" button.
- More than one prospectus, note the ticker symbol, you will not be able to return to these results after making your initial prospectus request.

FundProfiler does not make recommendations for mutual fund purchases. It does not rank funds by quality, nor rate any fund better than any other fund. It does provide you with important information on the funds within its database. Always read a prospectus carefully before investing. The prospectus contains additional information including fees, charges, and expenses. FundProfiler does not constitute an offer of sale for any of the funds displayed. Such offer can only be made by the fund's own prospectus.

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FIG. 2 (u)

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FundProfiler

1 Start 2 Criteria 3 Results

Step Three: View Your Results

PREVIOUS

We have compiled the list of funds below based on the criteria you selected. To view more information about the table's symbols and buttons, check out the [Key](#).

Funds Found: 5555

Funds Searched: 5555
Fund Families Searched: 494

Funds are sorted by the Match Score. To sort by another criteria click the drop-down

box to the right and select that item

Match Score Sort by: 100

DISPLAY To view details for up to three funds, side-by-side, check their boxes and select the "Display" button.

| Symbol | Fund Name | Match Score | 1 Year Return | 5 Year Return | 10 Year Return | Since Inception Date | |
|---|--|-------------|---------------|---------------|----------------|----------------------|------------------------------|
| <input checked="" type="checkbox"/> INTX | 1838 Investment Advisors Funds: 1838 International Equity Fund | 100 | 33.20% | n/a | n/a | 13.70% | 08/03/1995 BUY PROSPECTUS |
| <input checked="" type="checkbox"/> ACGFX | AARP Growth Trust: AARP Capital Growth Fund | 100 | 35.00% | 25.20% | 15.50% | 17.10% | 12/04/1984 BUY PROSPECTUS |
| <input checked="" type="checkbox"/> AAISX | AARP Growth Trust: AARP International Growth & Income Fund | 100 | 21.10% | n/a | n/a | 13.20% | 02/03/1997 BUY PROSPECTUS |
| <input type="checkbox"/> AUSSX | AARP Growth Trust: AARP U.S. Stock Index Fund | 100 | 23.40% | n/a | n/a | 24.10% | 02/03/1997 BUY PROSPECTUS |
| <input type="checkbox"/> RIEQX | ABN AMRO Funds: International Equity Fund; Common Class Shares | 100 | 31.30% | 13.60% | n/a | 14.50% | 01/04/1993 BUY PROSPECTUS |
| <input type="checkbox"/> RLAEX | ABN AMRO Funds: Latin America Equity Fund; Common Class Shares | 100 | 24.60% | n/a | n/a | 4.60% | 06/28/1996 BUY PROSPECTUS |
| <input type="checkbox"/> AIINX | Accessor Funds, | | 37.10% | n/a | n/a | 13.40% | 07/06/1998 BUY |

FIG. 2 (4)

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Inc.: International Equity Portfolio; Investor Class Shares

100

AGROX Accessor Funds, Inc.: Growth Portfolio; Advisor Class Shares

100

AGRIX Accessor Funds, Inc.: Growth Portfolio; Investor Class Shares

100

ACIEX Accessor Funds, Inc.: International Equity Portfolio; Advisor Class Shares

29.90%

29.10%

n/a

23.80%

08/24/1992

29.30%

n/a

n/a

22.50%

07/01/1998

37.80%

13.90%

n/a

13.40%

10/03/1994

1

of 556

Go to page:

10

GO

1

PREVIOUS

Key

DISPLAY

To view details for up to three funds, side-by-side, check their boxes and select the "Display" button.

Symbol

To view fund details, click on the fund's ticker symbol.

Match Score

A measure of how closely a fund matches your selected criteria. Match scores, which range from zero to 100, do not represent an investment recommendation or ranking by investment performance. For an analysis of the score, click on the colored bars (■ ■ ■).

A blue bar indicates that a fund matches all of your preferences.

A yellow bar indicates that a fund is missing one or more of your preferences.

Returns

The fund's performance over the past one year, five years, ten years, or since inception. Past performance is not indicative of future returns.

Inception Date

The date the fund was opened to investors.

BUY

If you want to purchase:

Fig. 2 (w)

- A fund with your Wingspan Investment Services account, select the "Buy" button next to the fund. If you're not logged in, you will need to log in and return to this screen.
- More than one fund, note the ticker symbol; you will not be able to return to these results after making your initial purchase.

Note: The "Buy" button takes you to a screen where you can confirm your purchase. Not all funds displayed in the FundProfiler are available for purchase.

PROSPECTUS

Before investing or sending money, read the prospectus carefully. If you want to obtain:

- A free prospectus, which contains more information including fees, sales charges, and expenses, select the "Prospectus" button.
- More than one prospectus, note the ticker symbol, you will not be able to return to these results after making your initial prospectus request.

Quick Search

To view funds from just one family, use Quick Search.

START OVER

To choose a new profile, select the "Start Over" button.

FundProfiler does not make recommendations for mutual fund purchases. It does not rank funds by quality, nor rate any fund better than any other fund. It does provide you with important information on the funds within its database. Always read a prospectus carefully before investing. The prospectus contains additional information including fees, charges, and expenses. FundProfiler does not constitute an offer of sale for any of the funds displayed. Such offer can only be made by the fund's own prospectus.

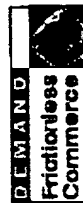
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[Disclosure](#)

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FIG. 2 (x)

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Buy Mutual Funds **65M034321**

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Options
[Buy Calls/Puts](#)
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Mutual Funds
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[Exchange](#)
Orders
[Order Status](#)
[Cancel Order](#)
[Change Order](#)
Fees
[Schedule](#)

Fund name: AARP CAPITAL GROWTH FUND

Amount: The minimum investment amount is \$1,000
Enter amount of purchase:
 dollars
-OR-
 shares

Purchase amount is for principal only and does not include any transaction fees.

Reinvestment: Would you like to reinvest the dividends, income and capital gains of this fund?
☒ Yes ☐ No

Prospectus: I have read, understand and accept the terms of the prospectus.
☒ Yes ☐ No

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FIG. 2 (4)

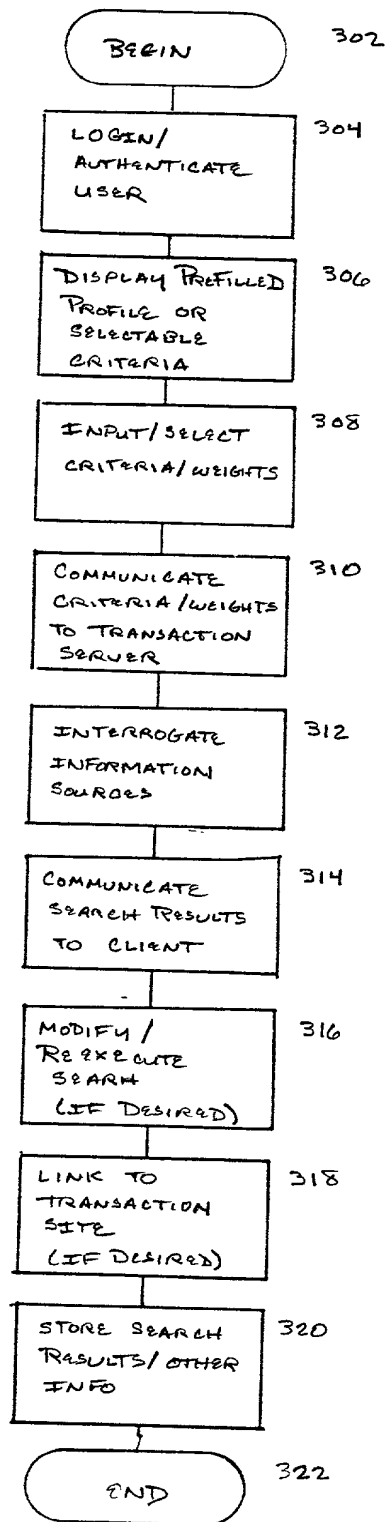


FIG. 3